PTO/SB/05 (03/01)

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. H 5213 PCT/US

First Inventor Thiele, Lothar

Title TWO-COMPONENT POLYURETHANE ADHESIVE FOR WOODEN MATERIALS

Express Mail Label No. EV 274366485 US

| (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) | E |
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|--|---|---------------------------|---------------------------|--|---|--|---------------------|--------------|
| | APPLICATION | | ADDRESS 10: P.O. Box 1450 | | | | OL | |
| See MPEP cha | See MPEP chapter 600 concerning utility patent application contents. | | | Commissioner for Patents Alexandria, VA 22313-1450 | | | | ي الم |
| 1. X F | ee Transmittal Form (| (e.g., PTO/SB/ | 17) inal | 7. | CD-ROM or CD-R in | | table or | u.s. 6440 |
| | oplicant claims small e | entity status. | | 8. Nu | 8. Nucleotide and/or Amino Acid Sequence Submission | | | |
| 3. X Sp | pecification eferred arrangement set forti | [Total Pages th below) | 21 | a. | | able Form (CR | lF) | 03916 10/ |
| 0 | Descriptive title of the | Invention | | b. Specification Sequence Listing on: | | | | 0 |
| | Cross References to F | • • | | i. □ CD-ROM or CD-R (2 copies); or | | | | |
| | Statement Regarding | • | | _ | ii. paper | da d | | |
| | Reference to sequence or a computer program | • | • | C. | Statements verif | ying identity of | above copies | |
| | Background of the Inve | | | | ACCOMPANYI | NG APPLICAT | ION PARTS | |
| - E | Brief Summary of the I | Invention | | 9. | Assignment Paper | - | | |
| | Brief Description of the Detailed Description | e Drawings <i>(if fi</i> | iled) | 10. | 37 C.F.R.§3.73(b) (when there is an ass | Statement | Power of Attor | rney |
| - C | Claim(s) | | | 11. | English Translation | | applicable) | |
| | bstract of the Disclos | | r | 12. | | | | |
| | awing(s) (35 U.S.C. 1 | · • | ├ | 13. | 13. Preliminary Amendment | | | |
| 5. Oath or De | claration Unexecute | ed (Total Page | es 3] | 14. | 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | |
| а. 🗶 | Unexecuted (orig | inal or copy) | | 15. | 15. Certified Copy of Priority Document(s) (If foreign priority is claimed) | | | |
| b. | Copy from a prior ap (for continuation/division | oplication (37 C.F. | .R. § 1.63(d)) pleted) | 16. | 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent | | | |
| i. <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting | | | | 17. | Other: | | | |
| | | | rior application, see | | | *************************************** | | |
| 6. Ap | 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76 | | | | | | | |
| | 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an | | | | | in an | | |
| Application Date | a Sheet under 37 CFR 1 | 1.76: | | opry the re | quisite imorniation below a | no in a premimia | ry amendment, or i | ii aii |
| | of prior application No. PCT/EP02/01370 filed Pebruary 9, 2002 | | | | | <u>)2</u> | | |
| • | oplication information: E | | entire disclosure | of the prio | Group Art Unit: | | aration is supplied | d under |
| For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | | | | | |
| ☐ Customer Number or Bar Code Label 00423 or ☐ Correspondence address below | | | | | | | | |
| The state of the s | | | | □ Correspond | lence address be | ∌low | | |
| (Insert Customer No. or Attach bar code label here) Name Henkel Corporation | | | | | | | | |
| Law Department | | | | | | | | |
| Address | Address 2500 Renaissance Blvd., Suite 200 | | | | | | | |
| City | Gulph Mills | | | State | PA | Zip Code | 19406 | |
| Country | US | | Teleph | one | 610-278-4964 | Fax | 610-278-65 | 48 |
| Name | (Print/Type) | Gregory M. | Hill | 11 | Registration No. (Attorney/ | (Agent) 31.3 | | \neg |

 Name (Print/Type)
 Gregory M. Hill
 Registration No. (Attorney/Agent)
 31,369

 Signature
 Date
 \$/18/03

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| FEE TRANS | MITTAL | Complete if Known | | | |
|---|-----------------|----------------------|----------------|---|--|
| | | Application Number | To be assigned | | |
| for FY 2 | 1003 | Filing Date | To be assigned | | |
| Patent fees are subject to a | nnual revision. | First Named Inventor | Thiele, Lothar | | |
| ☐ Applicant claims small entity status. See 37 CFR 1.27 | | Examiner Name | To be assigned | - | |
| TOTAL AMOUNT OF PAYMENT | (\$)750.00 | Art Unit | To be assigned | | |
| | | Attorney Docket No. | H 5213 PCT/US | | |

| METHOD OF PAYMENT (check all that apply) | | | | FEI | E CALCULATION (continued) | |
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| ☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None | 3. AE | ודוםכ | JNAL | FEES | 3 | |
| ☑ Deposit Account: | | | | | | |
| Deposit 01 1350 Order No. 03 0405 | Fee | Entity Fee | Small Fee | Entity Fee | Fee Description | |
| Account 01-1250, Order 140. 03-0405 | Code | (\$) | Code | (\$) | ree Description | Fee Paid |
| Number | 1051 | 130 | 2051 | 65 | Surcharge – late filing fee or oath | |
| Deposit Account Henkel Corporation | 1052 | 50 | 2052 | 25 | Surcharge – late provisional filing fee or cover sheet. | |
| Name | 1053 | 130 | 1053 | 130 | Non-English specification | |
| The Commissioner is authorized to: (check all that apply) ☑ Charge fee(s) indicated below ☐ Credit any overpayments | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| ☑ Charge any additional fee(s) during the pendency of this application | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| ☐ Charge fee(s) indicated below, except for the filing fee | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| to the above-identified deposit account. FEE CALCULATION | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1. BASIC FILING FEE | 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| Large Entity Small Entity | 1253 | 930 | 2253 | 465 | Extension for reply within third month | |
| Fee Fee Fee Fee Description Fee Paid | | | | ,,,, | | |
| Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee 750 | 1254 1255 | 1,450 1,970 | 2254 2255 | 725 985 | Extension for reply within fourth month Extension for reply within fifth month | |
| 1002 330 2002 165 Design filing fee | 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1003 520 2003 260 Plant filing fee | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1004 750 2004 375 Reissue filing fee | 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1005 160 2005 80 Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| <u> </u> | 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | |
| SUBTOTAL (1) (\$) 750.00 | 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| | 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1502 | 470 | 2502 | 235 | Design issue fee Plant issue fee | |
| Fee from Extra Claims below Fee Paid | 1503 | 630 | 2503 | 315 | Flant Issue 199 | |
| Total Claims 15 -20**= 0 X \$18.00 = | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Independent 2 - 3**= 0 X \$84.00 = | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| Multiple Dependent \$280.00 = | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| <u> </u> | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times | |
| | 1809 | 750 | 2809 | 375 | number of properties) Filing a submission after final rejection | |
| Large Entity Small Entity Fee Fee Fee Fee Description | 1810 | 750 | 2810 | 375 | (37 CFR § 1.129(a)) For each additional invention to be examined (37 CFR | |
| Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20 | 1801 | 750 | 2801 | 375 | § 1.129(b)) Request for Continued Examination (RCE) | |
| | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design | |
| | 1002 | 900 | 1002 | 900 | application | |
| 1203 280 2203 140 Multiple dependent claim, if not paid 1204 84 2204 42 ** Reissue independent claims over | Othor | ina /ama | -i6.\ | | ſ | - |
| original patent | Other | ee (spe | :Cily) | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | | | | | | ' |
| SUBTOTAL (2) (\$) | * Redu | ced by | Basic Fi | ling Fee | Paid SUBTOTAL (3) (\$) | |
| or number previously paid, if greater; For Reissues, see above | | | | | | |
| SUBMITTED BY | | | | | Complete (if applicable) | |
| Name (Print/Type) Gregory M. Hill | | ration N ey/Age | Vo. 31, | 369 | Telephone 610-278-4946 | |
| Signature | 1 | . cyrrige | · | | Date 8/18/02 | |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PATENT

Docket No. H 5213 PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RE:

PCT/EP02/01370

International Filing Date: February 09, 2002

Priority Date Claimed:

February 19, 2001

Applicant: Thiele et al.

Title: TWO-COMPONENT POLYURETHANE ADHESIVE FOR

WOODEN MATERIALS

Applicants' Reference: H 5213 PCT/US

COMMUNICATION

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir,

This application is a continuation under 35 USC 365(c) and 35 USC 120 of International application no. PCT/EP02/01370, filed on February 9, 2002.

Status of Claims

Claims 1 to 15 are pending.

Amendments Relative to the Parent Application

The specification has been amended relative to parent application PCT/EP02/01370 to insert a cross-reference to related applications in accordance with 37 CFR 1.78 and to claim priority to those applications listed therein. The specification is also being amended to insert section headers and an abstract of the disclosure in accordance with 37 CFR 1.77 to better conform to US patent practice.

No new matter is being added by the amendments to the specification.

Continuation under 35 USC 365(c) and 35 USC 120 of International application no. PCT/EP02/01370, filed on February 9, 2002.

The claims are supported by the specification, for example, at the locations shown below (citations are to the specification of the English Language translation):

| Claim | Support in Specification |
|--------|------------------------------------|
| 1 | page 3, line 14 to page 4, line 9 |
| 2 | page 15, line 2 |
| 3 | page 15, lines 12-14 |
| 4 | page 15, line 2 |
| 5 | page 15, lines 14-22 |
| 6,7 | page 4, line 17 to page 6, line 24 |
| 8,9 | page 7, lines 18-20 |
| 10 | page 7, line 25 to page 8, line 5 |
| 11 | page 9, lines 4-8 |
| 12 | page 12, lines 3-22 |
| 13, 14 | page 16, lines 6-25 |
| 15 | page 16, lines 26-28 |

No new matter is being added by these claims.

Continuation under 35 USC 365(c) and 35 USC 120 of International application no. PCT/EP02/01370, filed on February 9, 2002.

Applicants respectfully request the favorable examination of all pending claims. The Commissioner is authorized to charge any deficiency in the required fee or to credit any overpayment made in connection with this communication to Deposit Account 01-1250.

Respectfully submitted,

Gregory M. Hill (Reg. No. 31,369)

Attorney for Applicants

610-278-4964

Henkel Corporation Law Department 2500 Renaissance Boulevard, Suite 200 Gulph Mills, PA 19406

GMH/chd
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